

# Statement of Self-Certified Sophisticated Investor

I declare that I am a self-certified sophisticated investor for the purposes of the Financial Services and Markets Act (Financial Promotion) Order 2001.

I understand that this means:

- a) I can receive financial promotions that may not have been approved by a person authorised by the Financial Conduct Authority;
- b) the content of such financial promotions may not conform to rules issued by the Financial Conduct Authority;
- c) by signing this statement I may lose significant rights;
- d) I may have no right to complain to either of the following:
  - the Financial Conduct Authority; or
  - the Financial Ombudsman Scheme;
- e) I may have no right to seek compensation from the Financial Services Compensation Scheme.

I am a self-certified sophisticated investor because **at least one of the following applies:**

- a) I am a member of a network or syndicate of business angels and have been so for at least the last six months prior to the date below;
- b) I have made more than one investment in an unlisted company in the two years prior to the date below;
- c) I am working, or have worked in the two years prior to the date below, in a professional capacity in the private equity sector, or in the provision of finance for small and medium enterprises;
- d) I am currently, or have been in the two years prior to the date below, a director of a company with an annual turnover of at least £1 million.

**I accept that I can lose my property and other assets from making investment decisions based on financial promotions.** I am aware that it is open to me to seek advice from someone who specialises in advising on investments.

Full name: .....

Signature: ..... Date: .....